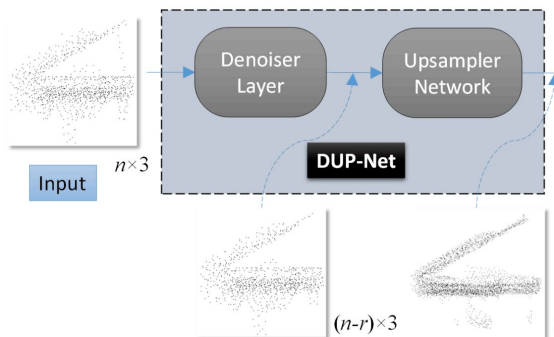


# Exploring Deep Point-Cloud Robustness

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Simon Fraser University

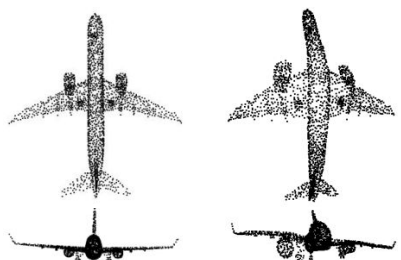
# Overview

Defense

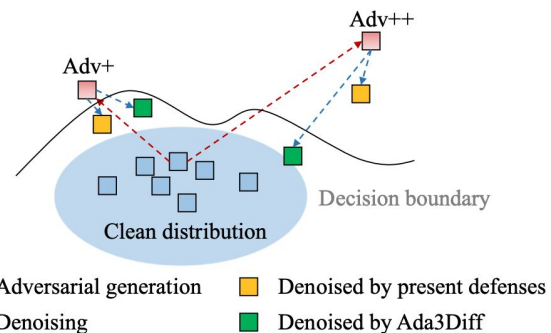


**DUP-Net (ICCV 2019)**

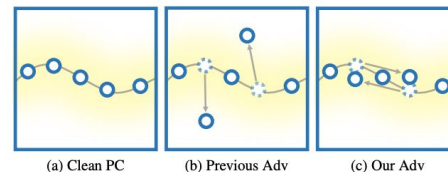
Attack



**LG-GAN (CVPR 2020)**



**Ada3Diff (arXiv)**



**SI-Adv (CVPR 2022)**

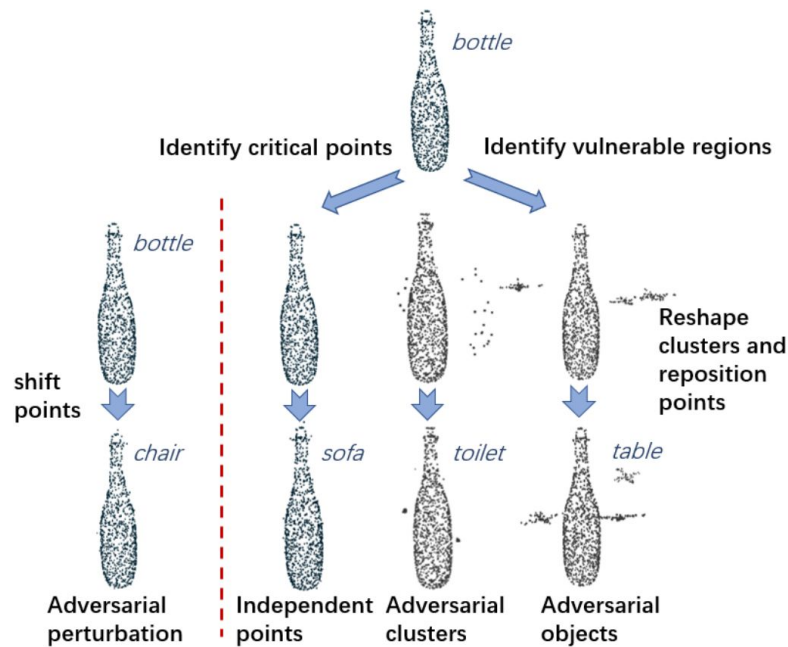
# Motivation

## DUP-Net

### Point-cloud Carlini-Wagner attack

Facts:

- Optimization based attack
- Loss function too loose



[1] Nicholas Carlini and David Wagner, Towards evaluating the robustness of neural networks, S&P 2017

[2] Chong Xiang et al., Generating 3D adversarial point clouds, CVPR 2019

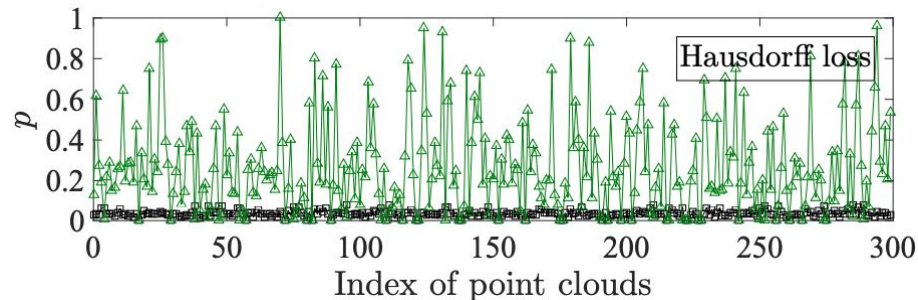
# Statistic outlier removal

## kNN outlier trim

- Non-differentiability

$$d_i = \frac{1}{k} \sum_{\mathbf{x}_j \in knn(\mathbf{x}_i, k)} \|\mathbf{x}_i - \mathbf{x}_j\|_2, \quad i = 1, \dots, n.$$

$$\mathbf{X}' = \{\mathbf{x}_i | d_i < \bar{d} + \alpha \cdot \sigma\}.$$

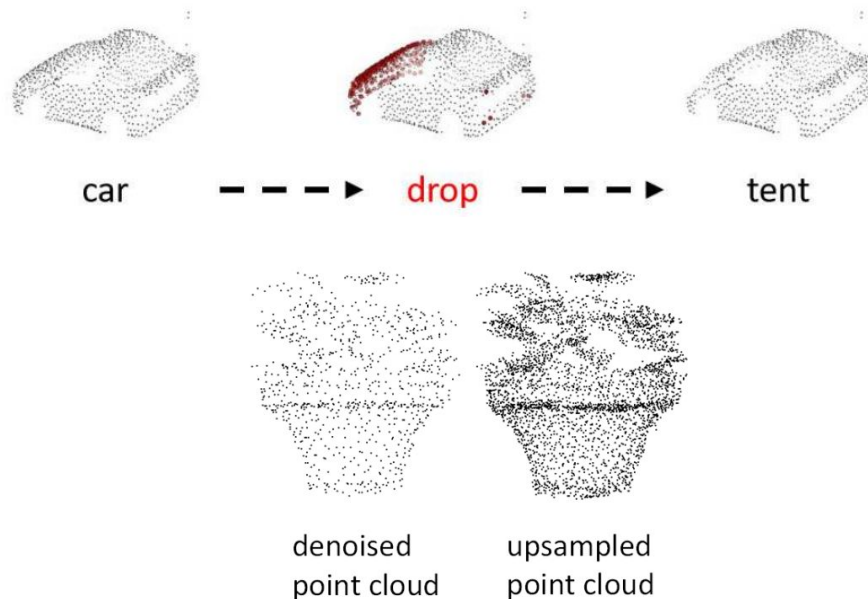


# Model-driven upsampler networks

## Point-dropping attack

- critical subset

## Upsampler network

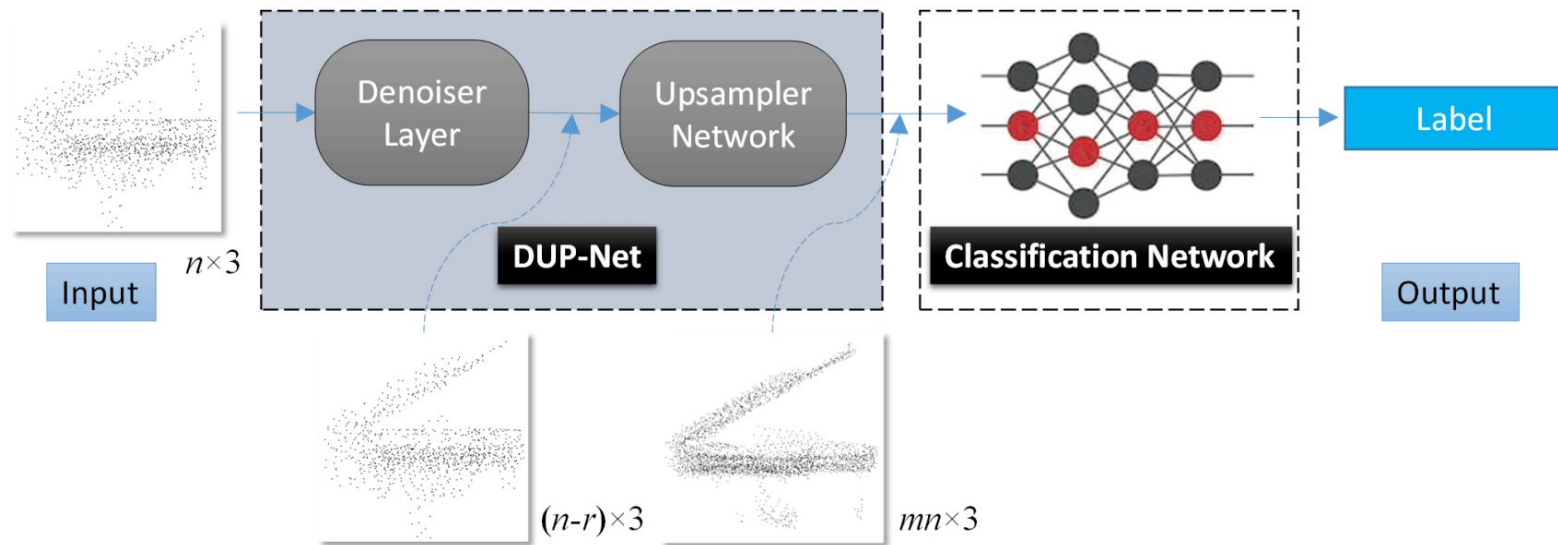


[1] Charles Qi et al., PointNet: Deep learning on point sets for 3D classification and segmentation, CVPR 2017

[2] Tianhang Zheng et al., PointCloud saliency maps, ICCV 2019

[3] Lequan Yu et al., PU-Net: Point cloud upsampling network, CVPR 2018

# Networks and loss functions



Victim models:

- PointNet
- PointNet++

# Datasets

Training on:

- ModelNet40, Visionair



Testing on:

- ModelNet40



[1] Zhirong Wu et al., 3D ShapeNets: A deep representation for volumetric shapes, CVPR 2015

[2] Lequan Yu et al., PU-Net: Point cloud upsampling network, CVPR 2018

# Defense performance

Models	Target [4]	Defense (SRS)	Defense (SOR) (ours)	Defense (PU-Net) (ours)	Defense (DUP-Net) (ours)
Clean point cloud	<b>88.3%</b>	83.0%	86.5%	87.5%	86.3%
Adv (C&W + $l_2$ loss) [34]	0.7%	64.7%	81.4%	23.9%	<b>84.5%</b>
Adv (C&W + Hausdorff loss) [34]	12.7%	58.8%	59.8%	17.6%	<b>62.7%</b>
Adv (C&W + Chamfer loss) [34]	11.8%	59.5%	59.1%	18.0%	<b>61.4%</b>
Adv (C&W + 3 clusters) [34]	0.7%	<b>92.0%</b>	-	-	87.6%
Adv (C&W + 3 objects) [34]	2.7%	<b>92.4%</b>	-	-	68.4%
Adv (dropping 50 points) [42]	75.5%	68.1%	71.3%	<b>76.1%</b>	73.9%
Adv (dropping 100 points) [42]	63.2%	56.4%	60.0%	<b>67.7%</b>	64.3%
Adv (dropping 150 points) [42]	50.4%	45.0%	48.6%	<b>57.7%</b>	54.4%
Adv (dropping 200 points) [42]	39.1%	35.1%	36.8%	<b>48.1%</b>	43.7%



# Motivation

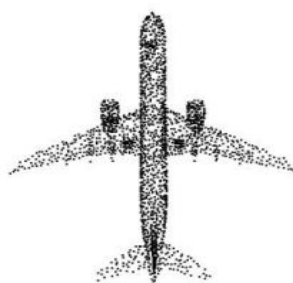
## LG-GAN

### Attack types

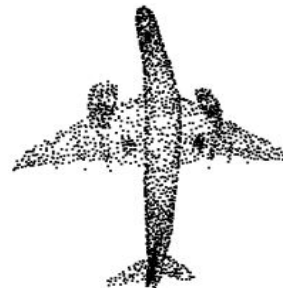
- Optimization-based
- Gradient-based
- Generative model-based

### Advantages

- Outlier-less
- Flexible of attack category
- Deformation-based



clean plane



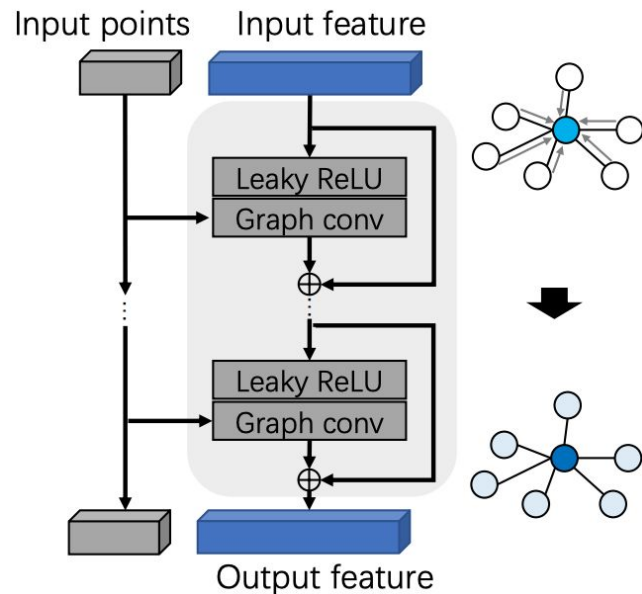
LG-GAN attack  
(to lamp)

# Generator and discriminator networks

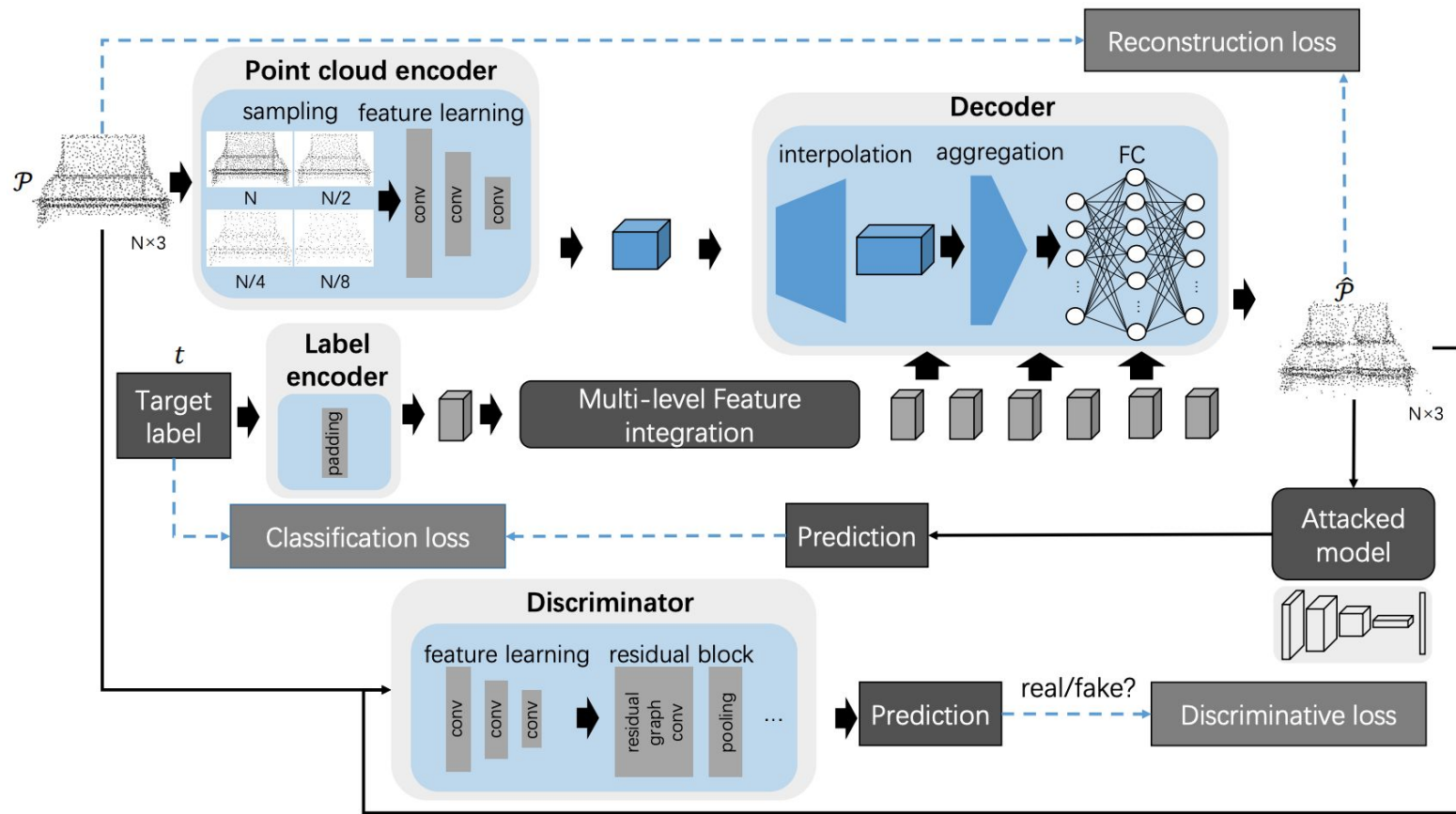
## Label-guided conditional generator network

- Hierarchical point feature learning
- Feature decoding and label concatenation

## Graph discriminator network



# Networks and loss functions



# Attack performance

	Target [5]	Defense (SRS) [43]	Defense (DUP-Net) [43]	$\ell_2$ dist (meter)	Chamfer dist (meter)	Time (second)
C&W + $\ell_2$ [36]	100	0	0	<b>0.01</b>	0.006	40.80
C&W + Hausdorff [36]	100	0	0	—	0.005	42.67
C&W + Chamfer [36]	100	0	0	—	<b>0.005</b>	43.73
C&W + 3 clusters [36]	94.7	2.7	0	—	0.120	52.00
C&W + 3 objects [36]	97.3	3.1	0	—	0.064	58.93
FGSM [20, 38]	12.2	5.2	2.8	0.15	0.129	0.082
IFGM [20, 38]	73.0	14.5	3.3	0.31	0.132	0.275
LG + Chamfer (ours)	96.1	75.4	13.9	0.63	0.137	0.037
single-layered LG-GAN (ours)	97.6	80.2	37.8	0.27	0.032	0.053
LG (ours)	97.1	85.0	72.0	0.25	0.028	<b>0.033</b>
LG-GAN (ours)	<b>98.3</b>	<b>88.8</b>	<b>84.8</b>	0.35	0.038	0.040

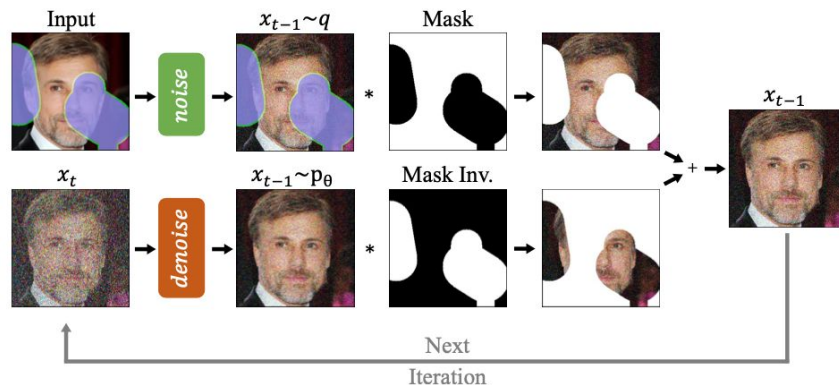
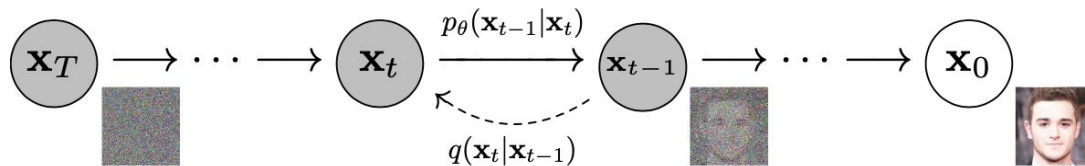
# Motivation

## Ada3Diff

Denoising diffusion model

Defend-by-denoise

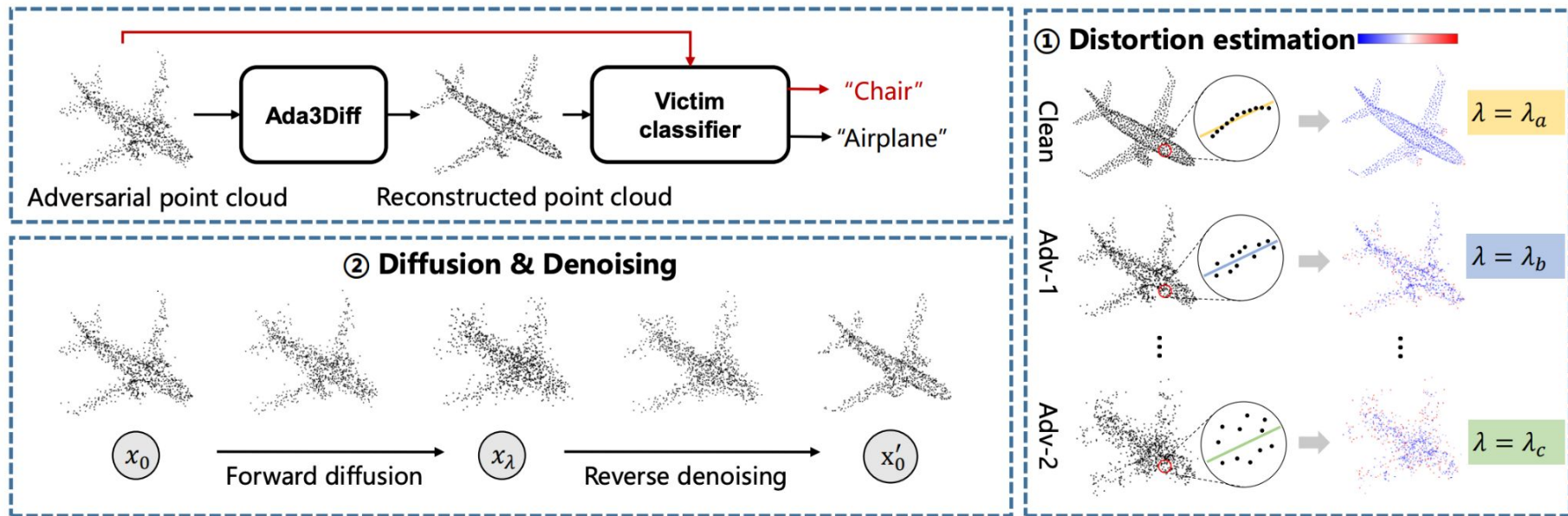
- noise density-aware (adaptive)
- distortion estimation
- Approximated by the distance of a point subset to the nearby plane

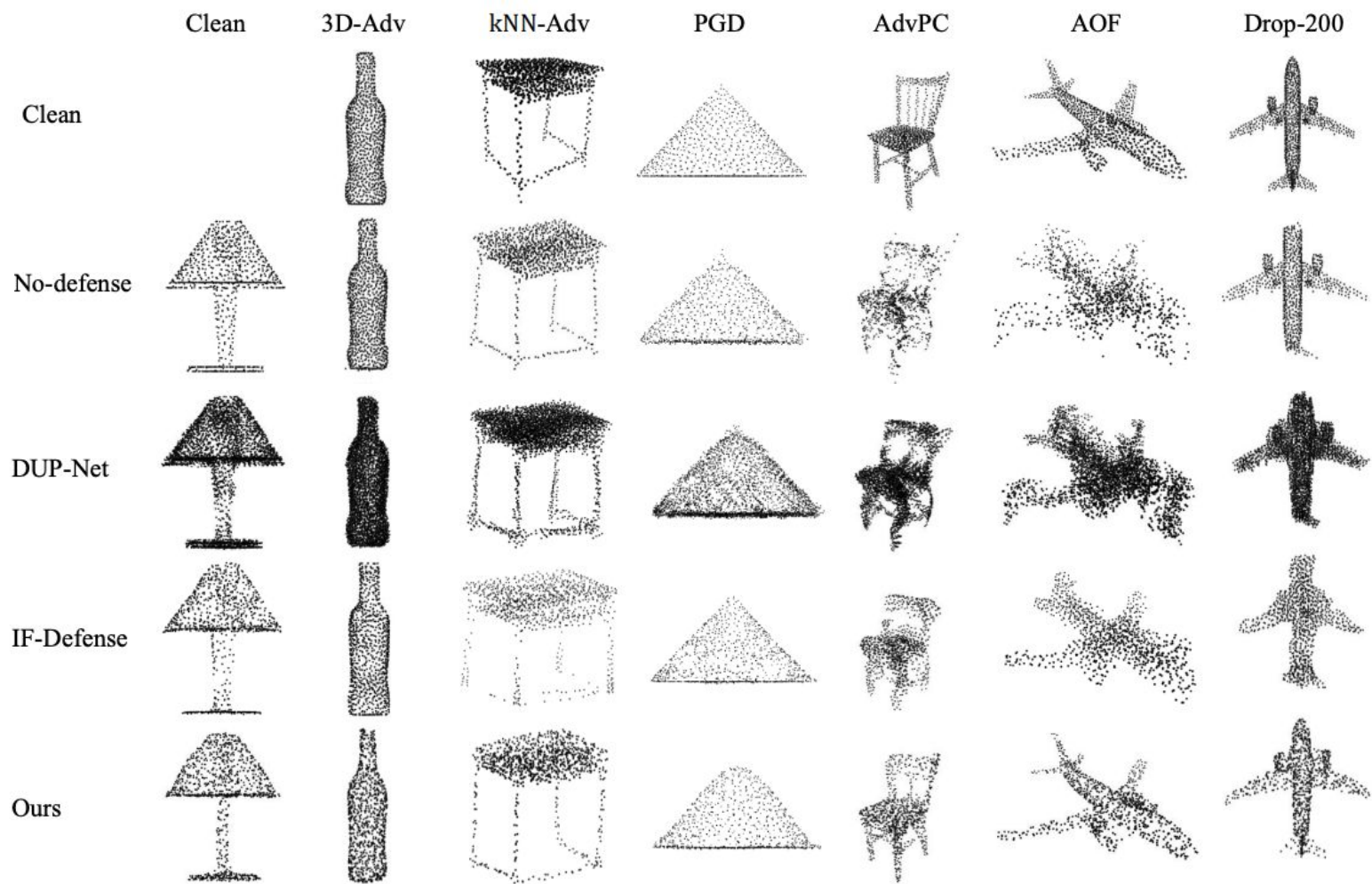


[1] Jonathan Ho et al., Denoising diffusion probabilistic models, NeurIPS 2020

[2] Andreas Lugmayr et al., RePaint: Inpainting using denoising diffusion probabilistic models, CVPR 2022

# Framework





# Quantitative results

Attacks	Clean	3D-Adv	kNN-Adv	PGD	AdvPC	AOF	Drop-200
CD ( $\times 10^{-4}$ )	0	0.59	1.20	6.35	17.2	21.3	11.0
No defense	<b>92.3</b>	0	7.21	0	0.85	0	75.2
SRS [35]	86.8	84.9	82.6	74.8	26.8	18.2	57.7
SOR [20]	91.0	<b>88.1</b>	78.2	64.0	16.9	8.27	<b>78.8</b>
DUP-Net [39]	88.8	88.0	85.3	79.5	49.4	31.6	74.2
IF-Defense [31]	86.7	86.6	85.7	83.7	62.3	47.3	77.6
Ada3Diff	88.4	87.7	<b>87.2</b>	<b>87.6</b>	<b>85.9</b>	<b>85.4</b>	78.1

Table 1. Comparison of robust classification accuracy on ModelNet40 under different attacks.



# Motivation

## SI-Adv

Black-box attack: more practical but challenging

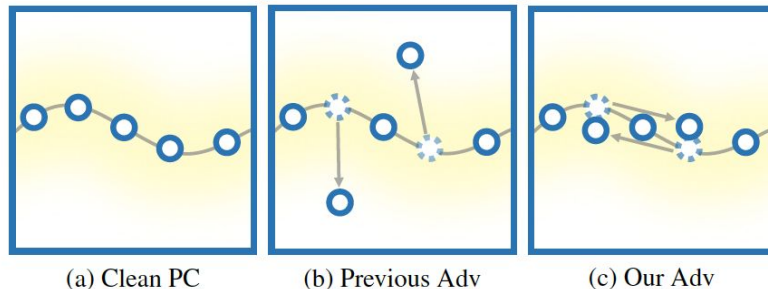
Shape-invariant

- normal estimation

$$\mathcal{C}_{\mathbf{p}_i} = \sum_{\mathbf{q} \in \mathcal{N}_{\mathbf{p}_i}} (\mathbf{q} - \mathbf{p}_i) \otimes (\mathbf{q} - \mathbf{p}_i)$$

- Modification along the surface
- Max-margin logit loss

$$\mathcal{L}(\mathcal{P}, t; \theta_w) = \max \left( [\mathcal{H}_w(\mathcal{P})]_t - \max_{j \neq t} [\mathcal{H}_w(\mathcal{P})]_j, 0 \right) \quad \mathcal{P} = \{\mathbf{R}_i^\top \mathbf{p}'_i - \mathbf{T}_i\}_{i=1}^N$$



- [1] Hugues Hoppe et al., Surface reconstruction from unorganized points, SIGGRAPH 1992  
[2] Nicholas Carlini and David Wagner, Towards evaluating the robustness of neural networks, S&P 2017

# Algorithm

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**Algorithm 1:** Shape-invariant Query-based Attack

---

**Input:** point-cloud input  $(\mathcal{P}, l)$ , black-box model  $\mathcal{H}_b$ , surrogate model  $\mathcal{H}_w$  and step size  $\epsilon$ .

**Output:** adversarial point cloud  $\tilde{\mathcal{P}}$

```
1 Initialize the perturbation  $\delta = 0$ 
2 Initialize the prediction pool  $\mathbf{p}_c = [\mathcal{H}_b(\mathcal{P})]_c$ 
3 Transform  $\mathcal{P}$  to  $\mathcal{P}'$   $\triangleleft$  Eq.(8)
4 Compute gradient map  $\mathcal{G}$  of  $\mathcal{P}'$  on  $\mathcal{H}_w$   $\triangleleft$  Eq.(10)
5 Compute and rank sensitivity map  $\mathcal{S}$   $\triangleleft$  Eq.(13)
6 while  $l = \arg \max_c \mathbf{p}_c$  and  $\mathcal{S} \neq \emptyset$  do
7   Pick top ranked  $q \in \mathcal{S}$  and  $\mathcal{S} = \mathcal{S} \setminus \{q\}$ 
8   Get its direction  $\theta = \arctan(g_{i2}/g_{i1})$ 
9   Compute basis  $q = q \cdot (\cos \theta, \sin \theta, 0)$ 
10  for  $\alpha \in \{\epsilon, -\epsilon\}$  do
11    Reverse  $\mathcal{P}' + \delta + \alpha q$  to  $\mathcal{P}_{inp}$   $\triangleleft$  Eq.(8)
12    Get prediction  $\mathbf{p}'_c = [\mathcal{H}_b(\mathcal{P}_{inp})]_c$ 
13    if  $\mathbf{p}'_l < \mathbf{p}_l$  then
14      Update  $\delta = \delta + \alpha q$ 
15      New prediction pool  $\mathbf{p}_c = \mathbf{p}'_c$ 
16    end
17  end
18 end
19 return  $\tilde{\mathcal{P}} = \mathcal{P}_{inp}$ 
```

---

# Experimental setup

## Attacked models

- PoinetNet, PointNet++ (MSG), DGCNN, PAConv, SimpleView and CurveNet

[1] Charles Qi et al., PointNet: Deep learning on point sets for 3D classification and segmentation, CVPR 2017

[2] Charles Qi et al., PointNet++: Deep hierarchical feature learning on point sets in a metric space, NeurIPS 2017

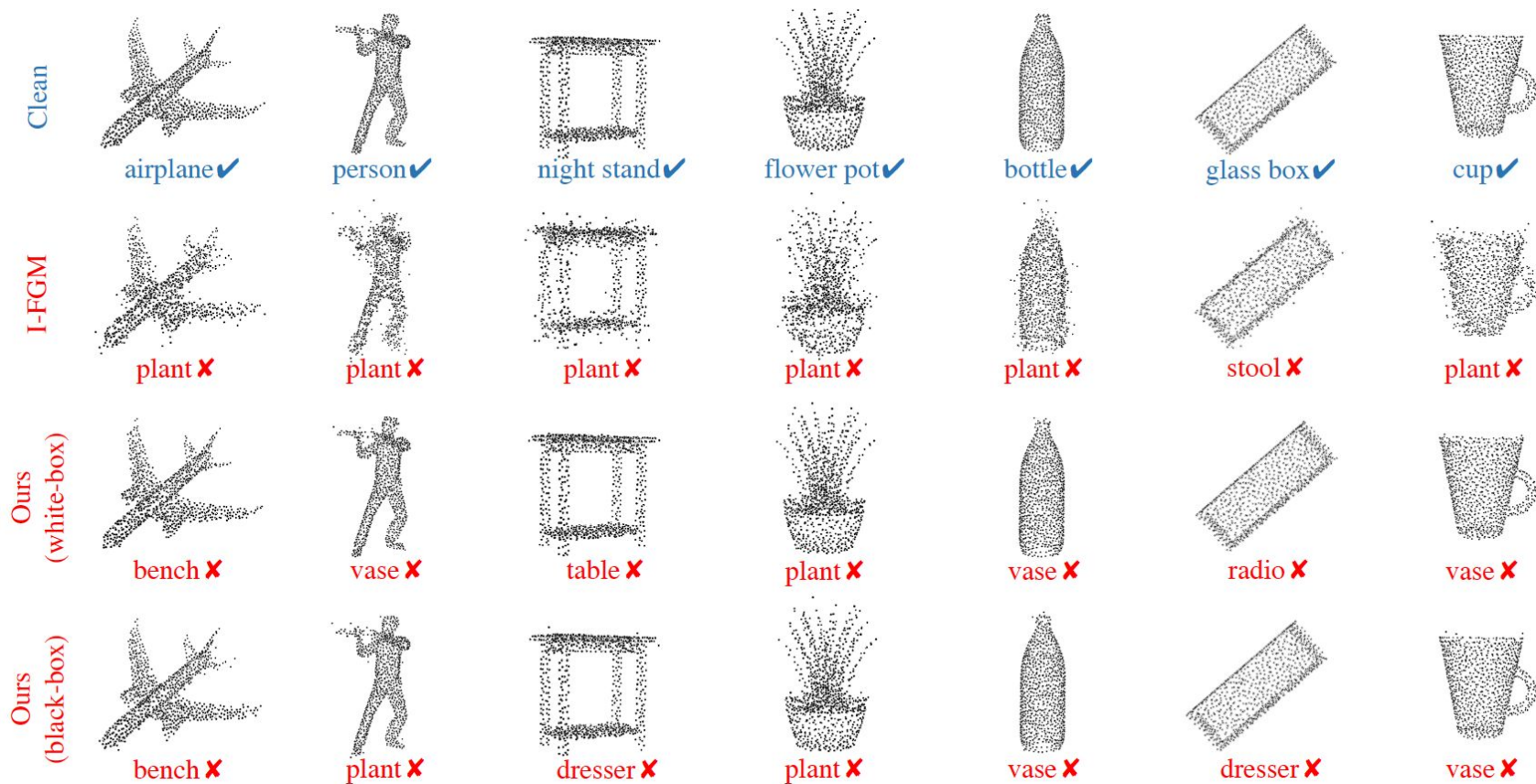
[3] Yue Wang et al., Dynamic graph CNN for learning on point clouds, SIGGRAPH 2019

[4] PAConv: Position adaptive convolution with dynamic kernel assembling on point clouds, CVPR 2021

[5] Ankit Goyal et al., Revisiting point cloud shape classification with a simple and effective baseline, ICML 2021

[6] Tiange Xiang et al., Walk in the cloud: Learning curves for point clouds shape analysis, ICCV 2021

# Quantitative results



# Qualitative results

Attack	Defense	PointNet [6]				DGCNN [31]				CurveNet [36]			
		ASR↑ (%)	CD↓ ( $10^{-4}$ )	HD↓ ( $10^{-2}$ )	A.T↓ (s)	ASR↑ (%)	CD↓ ( $10^{-4}$ )	HD↓ ( $10^{-2}$ )	A.T↓ (s)	ASR↑ (%)	CD↓ ( $10^{-4}$ )	HD↓ ( $10^{-2}$ )	A.T↓ (s)
I-FGM [15]	-	100.0	6.96	3.04	1.17	99.5	16.85	2.39	2.02	100.0	15.61	2.89	<b>10.77</b>
MI-FGM [9]	-	99.5	35.99	4.16	1.31	95.9	120.10	4.99	2.14	99.0	119.17	5.10	10.88
PGD [21]	-	<b>100.0</b>	7.00	3.05	<b>1.17</b>	99.4	16.77	2.40	<b>2.02</b>	100.0	15.32	2.86	10.78
3d-Adv [34]	-	99.9	3.25	2.11	4.94	<b>100.0</b>	10.12	2.46	18.73	100.0	7.48	3.51	116.24
AdvPC [17]	-	99.8	16.57	3.43	2.90	98.8	14.35	1.48	8.10	99.9	18.36	2.78	64.76
<b>Ours</b>	-	99.8	<b>2.15</b>	<b>2.04</b>	1.32	99.9	<b>6.33</b>	<b>1.27</b>	3.87	<b>100.0</b>	<b>6.25</b>	<b>1.99</b>	21.53

Table 1. Quantitative comparison between our white-box shape-invariant attack and existing white-box attacks in terms of attack success rate (ASR), Chamfer distance (CD), Hausdorff distance (HD) and average time budget for each adversarial point cloud generation (A.T) where CD is multiplied by  $10^4$  and HD is multiplied by  $10^2$  for better comparison.

# Takeaway

- Point-cloud defense  
Feature-aware
- Point-cloud attack  
Attack with additional inputs, generative model, scene datasets
- Mesh  
Mesh attack, neural network for mesh steganalysis, other security-related 3D problems